

COSC 460 Lecture 15: Transactions 2

Professor Michael Hay
Fall 2018

Transactions

- **Transaction** a sequence of SQL operations treated as a unit.
- Transactions appear to run in isolation
- Transaction either runs to completion or not at all
- ACID Properties
 - Atomicity
 - Consistency
 - Isolation
 - Durability

```
BEGIN TRANSACTION;  
insert into archive  
(select *  
  from apply  
   where decision = 'n');  
delete from apply  
where decision = 'n';  
COMMIT;
```

Isolation

- Goal: develop ways to Isolation. We will worry about A,C, and D later.
- Plan:
 1. See what isolation looks like (serializable schedules, conflict-serializable schedules, ...)
 2. See how to ensure isolation (locking protocols)

Schedules

- A **schedule** specifies a sequence (ordering) of statements across a set of transactions.
- Statements *within same* transaction must execute in order.
- Statements from *different* transactions may be interleaved
- **Serial** schedule: no interleaving

S1

Serial:
T1, T2

T1	T2
----	----

$A = A + 100$

$B = B - 100$

$A = A * 1.01$

$B = B * 1.01$

Intermediate results

$A = B = 1000$

$A = 1100$

$B = 900$

$A = 1111$

$B = 909$

End result:

$A = 1111; B = 909$

$A + B = 2020$

S2

Serial:
T2, T1

T1	T2
----	----

$$A = A * 1.01$$

$$B = B * 1.01$$

$$A = A + 100$$

$$B = B - 100$$

Intermediate results

$$A = B = 1000$$

$$A = 1010$$

$$B = 1010$$

$$A = 1110$$

$$B = 910$$

End result:

$$A = 1110; B = 910$$

$$A + B = 2020$$

Serializable schedules

- A **schedule** specifies a sequence (ordering) of statements across a set of transactions.
- Schedule S' is **equivalent** to schedule S if the result of executing S' on D is the same as the result of executing S on D .
- Schedule S' is **serializable** if it is equivalent to some serial schedule S .

S3

Serializable:
equivalent
to T1, T2

T1	T2
----	----

$A = A + 100$

$A = A * 1.01$

$B = B - 100$

$B = B * 1.01$

Intermediate results

$A = B = 1000$

$A = 1100$

$A = 1111$

$B = 900$

$B = 909$

End result:
 $A = 1111; B = 909$

$A + B = 2020$

S4

**Not
serializable**

T1	T2
----	----

$A = A + 100$

$A = A * 1.01$

$B = B * 1.01$

$B = B - 100$

Intermediate results

$A = B = 1000$

$A = 1100$

$A = 1111$

$B = 1010$

$B = 910$

End result:
 $A = 1111; B = 910$

$A+B = 2021!!$

Abstract Schedule: Reads and Writes

S4

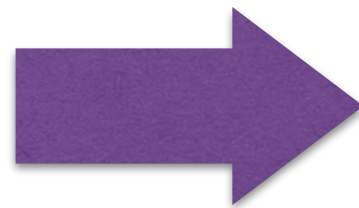


$A = A + 100$

$A = A * 1.01$

$B = B * 1.01$

$B = B - 100$



Abstract version



$R(A)$

$W(A)$

$R(A)$

$W(A)$

$R(B)$

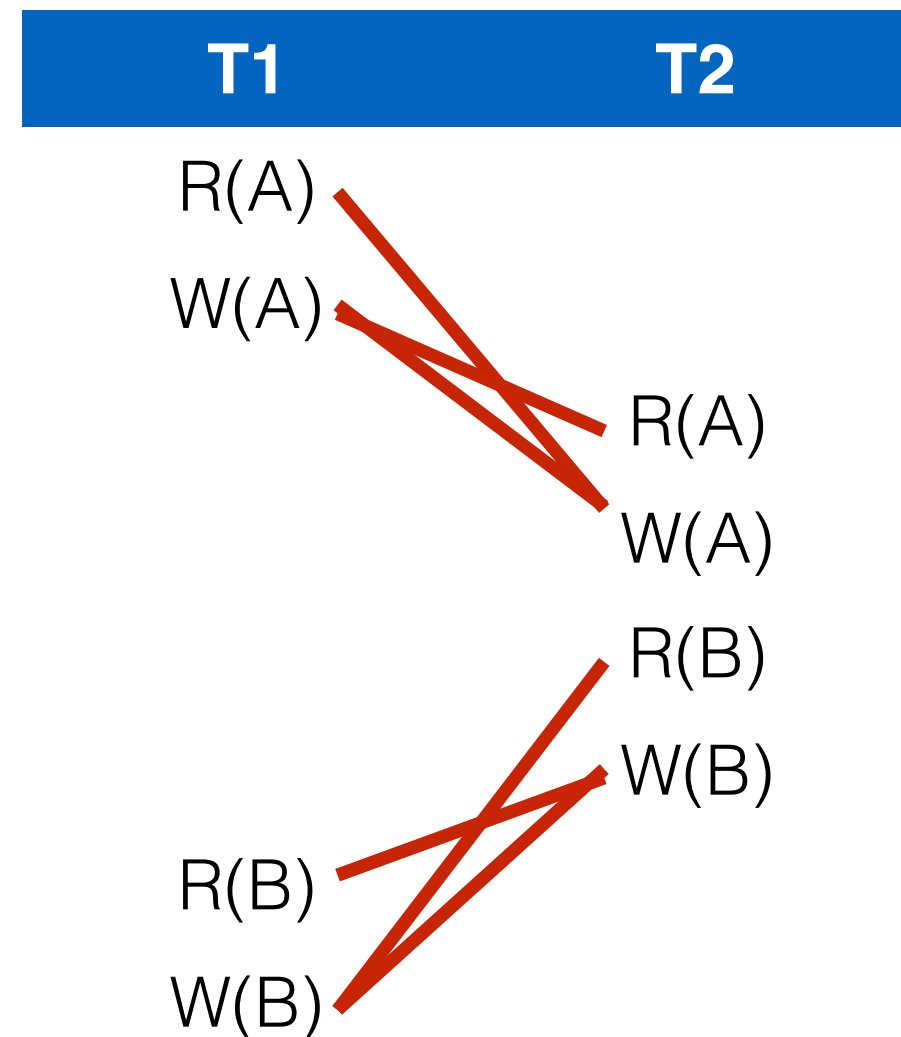
$W(B)$

$R(B)$

$W(B)$

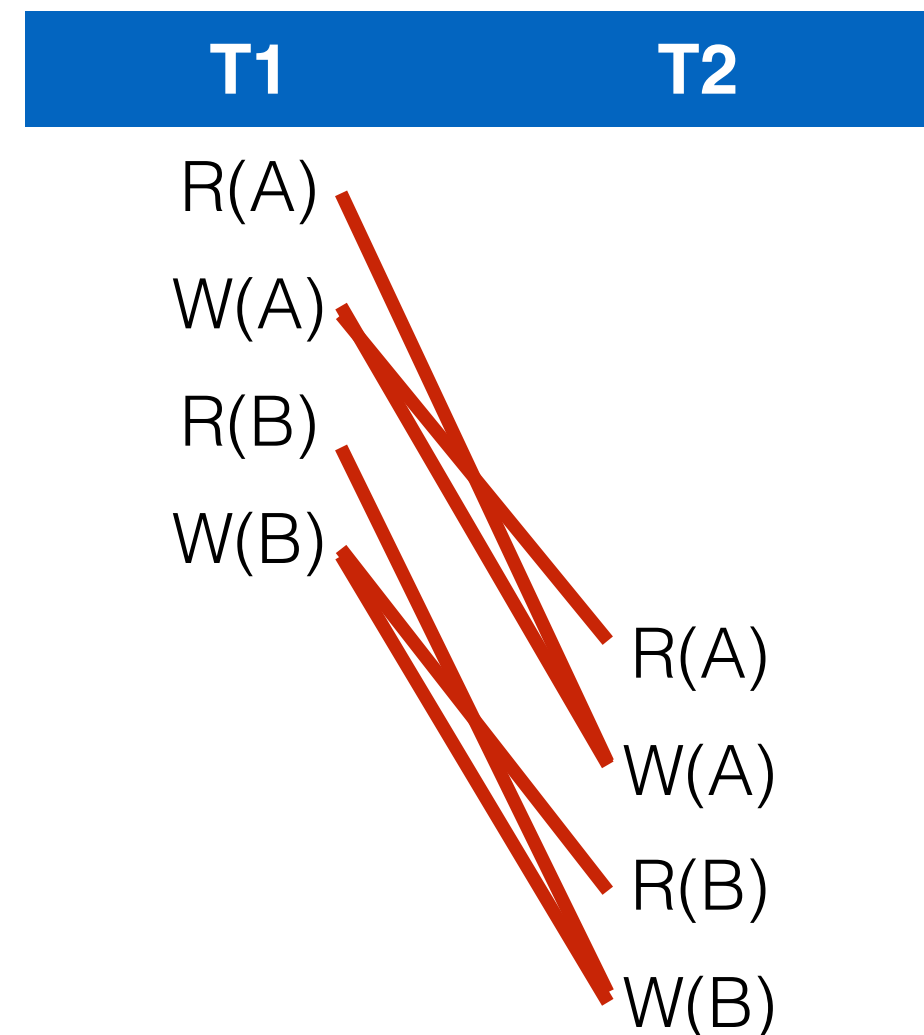
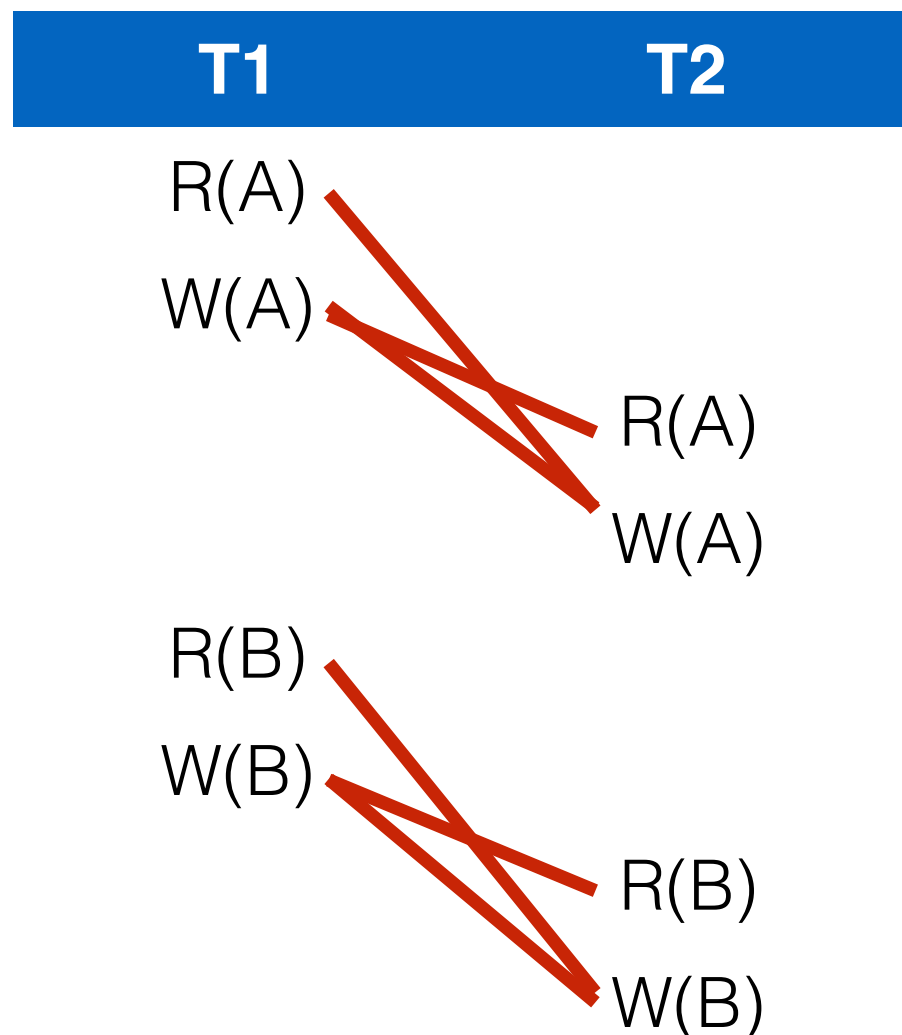
Conflicting statements

- Two statements **conflict** if....
 - They are from different transactions
 - They access same object
 - At least one is a write



Conflict equivalent

- Schedule S is **conflict equivalent** to S' if every pair of conflicting statements is ordered in the same way



Question

- Two statements **conflict** if....
 - They are from different transactions
 - They access same object
 - At least one is a write

- Are these conflict equivalent schedules?

T1	T2	T3
W(A)		
		R(B)
	R(A)	
	R(B)	
R(B)		
		W(B)

T1	T2	T3
W(A)		
R(B)		
	R(A)	
	R(B)	
		R(B)
		W(B)

Instructions: ~1 minute to think/answer on your own; then discuss with neighbors; then I will call on one of you

Conflict serializable

- Recall: schedule S' is serializable if it is *equivalent* to some serial schedule S .
- A schedule S' is **conflict serializable** if it is *conflict equivalent* to serial schedule S .

Question

Instructions: ~1 minute to think/
answer on your own; then discuss with
neighbors; then I will call on one of you

Let's think about the
relationship between
conflict serializability and
serializability.

Consider the schedule
shown here.

- Is it *conflict serializable*?
- Is it *serializable*?

T1	T2	T3
W(A)		
	W(A)	
	W(B)	
W(B)		
		W(B)

Checking for conflict serializability

- Construct **precedence graph**
 - Node for every transaction
 - Edge $T_i \rightarrow T_j$ if T_i has statement a that conflicts with a statement b in T_j and a comes before b
- If precedence graph is acyclic, then a schedule is conflict serializable.

Examples schedules

T1	T2
R(A)	
W(A)	
	R(A)
	W(A)
	R(B)
	W(B)
R(B)	
W(B)	

T1	T2
R(A)	
W(A)	
	R(A)
	W(A)
R(B)	
W(B)	
	R(B)
	W(B)

Question

Instructions: ~1 minute to think/
answer on your own; then discuss with
neighbors; then I will call on one of you

Construct the
precedence graph for the
schedule shown here.

Is this schedule *conflict
serializable*?

T1	T2	T3
		W(A)
R(B)		
W(B)		
	R(A)	
	W(C)	
		W(B)
R(C)		

Example

- T1 transfers between accounts
- T2 displays total account balance
- Is this schedule *serializable*?

Locking alone is not enough! Need ***locking protocol.***

T1	T2
L(A)	
	L(A)
A=A+100	
U(A)	
	L(B)
	print(A+B)
	U(A), U(B)
L(B)	
B = B - 100	
U(B)	

Example

Instructions: ~1 minute to think/
answer on your own; then discuss with
neighbors; then I will call on one of you

- Is this schedule feasible under the *2PL protocol*?
- If not, why not?
- If so, is it a *serializable schedule*?

T1	T2
	L(A), L(B)
	temp = A
	U(A)
L(A)	
A=A+100	
U(A)	
	temp += B
	U(B)
L(B)	
B = B - 100	
U(B)	
	print(temp)